

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 1.53(b))

Attorney Docket No.	33997.0095
First Inventor	Ulrich Sander
Title	Surgical Microscope
Express Mail Label No.	ER 635560466 US

22581 U.S. PTO  
10/761064

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Mail Stop Patent Application  
ADDRESS TO: Commissioner for Patents, P.O. Box 1450  
Alexandria, Virginia 22313-1450

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See CFR 1.27.
3. ☒ Specification [Total Pages / 14 ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets / 3 ]
5. ☒ Oath or Declaration [Total Pages / 3/ ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies


## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Checks for \$914.00 and \$40.00

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: \_\_\_\_\_  
Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

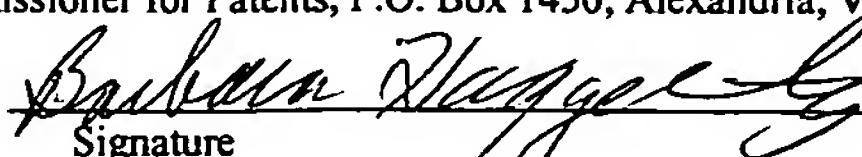
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		000026712		OR <input type="checkbox"/> Correspondence address below	
NAME		GEORGE L. SNYDER, JR.			
		Hodgson Russ LLP			
ADDRESS		One M&T Plaza, Suite 2000			
CITY	Buffalo	STATE	New York	ZIP CODE	14203-2391
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX	(716) 849-0349
Name (Print/Type)	George L. Snyder, Jr.		Registration No. (Attorney/Agent)		37,729
Signature				Date	January 20, 2004

"Express Mail" Mailing Label Number ER 635560466 US

Date of Deposit January 20, 2004

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Barbara Haggerty  
Name

  
Signature

BFLODOCS:889513

**FEE TRANSMITTAL  
for FY 2004**

Effective 01/01/2003. Patent Fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$954.00)

Application Number

Filing Date

01/20/2004

First Named Inventor

Ulrich Sander

Examiner Name

Group/Art Unit

Attorney Docket Number

33997.0095

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit Account: Deposit Account Number: 08-2442

Deposit Account Name: Hodgson Russ LLP

The Director is hereby authorized to (check all that apply)

☐ Charge fee(s) indicated below☒ Charge any fee deficiencies or credit any overpayments☐ Charge any additional fees during pendency of this application.☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

## 3. ADDITIONAL FEES

## Large Entity

## Small Entity

Fee  
CodeFee  
(\$)Fee  
CodeFee  
(\$)

Fee Description

Fee  
Paid

1051

130

2051

65

Surcharge - late filing fee or oath

\$

1052

50

2052

25

Surcharge - late provisional filing fee or cover sheet

\$

1053

130

1053

130

Non-English specification

\$

1812

2,520

1812

2,520

For filing a request for *ex parte* reexamination

\$

1804

920\*

1804

920\*

Requesting Publication of SIR prior to Examiner Action

\$

1805

1,840\*

1805

1,840\*

Requesting Publication of SIR after Examiner Action

\$

1251

110

2251

55

Extension for reply within first month

\$

1252

420

2252

210

Extension for reply within second month

\$

1253

950

2253

475

Extension for reply within third month

\$

1254

1,480

2254

740

Extension for reply within fourth month

\$

1255

2,010

2255

1,005

Extension for reply within fifth month

\$

## SUBTOTAL (1)

\$770

1401

330

2401

165

Notice of Appeal

\$

1402

330

2402

165

Filing a brief in support of an appeal

\$

1403

290

2403

145

Request for oral hearing

\$

1451

1,510

1451

1,510

Petition to institute a public use proceeding

\$

1452

110

2452

55

Petition to revive - unavoidable

\$

1453

1,330

2453

665

Petition to revive - unintentional

\$

1501

1,330

2501

665

10 advance copies  
Utility issue fee (or reissue)

\$

1502

480

2502

240

Design issue fee

\$

1503

640

2503

320

Plant issue fee

\$

1460

130

1460

130

Petitions to the Commissioner

\$

1807

50

1807

50

Processing fee under 37 CFR 1.17(q)

\$

1806

180

1806

180

Submission of Information Disclosure Statement

\$

8021

40

8021

40

Recording each patent assignment per property (times  
number of properties)

\$40

1809

770

2809

385

Filing a submission after final rejection(37 CFR 1.129(a))

\$

1810

770

2810

385

For each add'l invention to be examined(37 CFR 1.129(b))

\$

1801

770

2801

385

Request For Continued Examination (RCE)

\$

1802

900

1802

900

Request for Expedited Examination of a design application

\$

Other fee (specify)

\$

\*Reduced by basic filing fee paid

SUBTOTAL (3)

\$40

## SUBMITTED BY:

George L. Snyder, Jr.

Reg. No. 37,729

## SIGNATURE

DATE: January 20, 2004

Telephone: (716) 848-1545

Express Mail Mailing Label Number ER 635560466 US

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Barbara Haggerty  
Name

Signature

January 20, 2004  
Date of Signature

BFLODOCS: 889475